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Federal Agencies

August 21, 2008

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Art Unit 1617

Attn: Mail Stop Amendment

Re: U.S. Utility Patent Application  
Application No. 10/801,951; Filed: March 17, 2004  
For: **Facially Amphiphilic Polymers and Oligomers and Uses Thereof**  
Inventors: DeGrado *et al.*  
Our Ref: 1694.0630003/JMC/M-R/KHR

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fourth Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(b);
2. Form PTO/SB/08B (1 sheet) listing the cited documents (NPL9-NPL11);
3. Copies of cited documents (NPL9-NPL11); and
4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink that reads "Marsha A. Rose". The signature is written in a cursive, flowing style.

Marsha A. Rose  
Attorney for Applicants  
Registration No. 58,403

M-R/AKN/tc  
Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DeGRADO *et al.*

Appl. No.: 10/801,951

Filed: March 17, 2004

For: **Facially Amphiphilic Polymers  
and Oligomers and Uses Thereof**

Confirmation No.: 2895

Art Unit: 1617

Examiner: CHONG, Yong Soo

Atty. Docket: 1694.0630003/JMC/M-R/KHR

**Fourth Supplemental Information Disclosure Statement  
under 37 C.F.R. § 1.97(b)**

*Mail Stop RCE*

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Form, PTO/SB/08B, are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98. The numbering on this Fourth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Third Supplemental Information Disclosure Statement filed on April 30, 2008 in connection with the above-captioned application.

Copies of documents **NPL9** to **NPL11** are submitted.

In accordance with the Federal Circuit decision in *Dayco Prods., Inc. v. Total Containment, Inc.* 329 F.3d 1358 (Fed. Cir. 2003), Applicants submit herewith office actions from:

U.S. Non-Provisional Appl. No. 10/471,028 as documents **NPL9** and **NPL10**.

Applicants also submit herewith the Notice of Allowance from U.S. Application No. 10/471,028 as document **NPL11**.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited material and art cited therein during examination.

Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

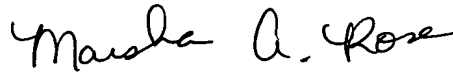
This Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Date: 8/21/2008

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